

General Assembly

Governor's Bill No. 5044

February Session, 2018

LCO No. 341



Referred to Committee on LABOR AND PUBLIC EMPLOYEES

Introduced by:

REP. ARESIMOWICZ, 30th Dist.

REP. RITTER M., 1st Dist.

SEN. LOONEY, 11th Dist.

SEN. DUFF, 25th Dist.

AN ACT CONCERNING FAIR TREATMENT OF SICK WORKERS.

Be it enacted by the Senate and House of Representatives in General Assembly convened:

- 1 Section 1. Section 31-57r of the general statutes is repealed and the
- 2 following is substituted in lieu thereof (*Effective October 1, 2018*):
- 3 As used in this section and sections 31-57s to 31-57w, inclusive, as
- 4 <u>amended by this act:</u>
- 5 (1) "Child" means a biological, adopted or foster child, stepchild,
- 6 legal ward of a [service worker] covered employee, or a child of a
- 7 [service worker] <u>covered employee</u> standing in loco parentis, who is
- 8 (A) under [eighteen] twenty-six years of age; or (B) [eighteen] twenty-
- 9 <u>six</u> years of age or older and incapable of self-care because of a mental
- 10 or physical disability;
- 11 (2) "Covered employee" means an employee who is (A) paid on an

- 12 hourly basis; or (B) not exempt from the minimum wage and overtime
- 13 compensation requirements of the Fair Labor Standards Act of 1938
- 14 and the regulations promulgated thereunder, as amended from time to
- 15 time, but does not include day or temporary workers;
- [(2)] (3) "Day or temporary worker" means an individual who performs work for another on (A) a per diem basis, or (B) an occasional or irregular basis for only the time required to complete such work, whether such individual is paid by the person for whom such work is performed or by an employment agency or temporary help service, as defined in section 31-129;
- [(3)] (4) "Employee" means an individual engaged in service to an employer in the business of the employer;
- 24 [(4)] (5) "Employer" means: (A) for purposes of paid sick leave, any 25 person, firm, business, educational institution, nonprofit agency, 26 company, successor-in-interest, corporation, limited liability 27 determined in accordance with section 2 of this act, integrated 28 employer, determined in accordance with section 2 of this act, or other 29 entity that employs [fifty] twenty or more individuals in the state, 30 which shall be determined based on such person's, firm's, business', 31 educational institution's, nonprofit agency's, corporation's, limited 32 liability company's, successor-in-interest's, integrated employer's or 33 other entity's payroll for the week containing October first, annually; [. 34 "Employer" does not include: (A) Any business establishment 35 classified in sector 31, 32 or 33 in the North American Industrial 36 Classification System, or (B) any nationally chartered organization 37 exempt from taxation under Section 501(c)(3) of the Internal Revenue 38 Code of 1986, or any subsequent corresponding internal revenue code 39 of the United States, as from time to time amended, that provides all of 40 the following services: Recreation, child care and education] (B) for 41 purposes of unpaid sick leave, any person, firm, business, educational 42 institution, nonprofit agency, corporation, limited liability company, 43 successor-in-interest, determined in accordance with section 2 of this 44 act, integrated employer, determined in accordance with section 2 of

- 45 this act, or other entity that employs less than twenty individuals in
- 46 the state, which shall be determined based on such person's, firm's,
- 47 business's, educational institution's, nonprofit agency's, corporation's,
- 48 <u>limited liability company's, successor-in-interest's, integrated</u>
- 49 <u>employer's or other entity's payroll for the week containing October</u>
- 50 <u>first, annually;</u>
- [(5)] (6) "Family violence" has the same meaning as provided in
- 52 section 46b-38a;
- 53 (7) "Parent" means a biological, adoptive, step or foster parent of a
- 54 <u>covered employee or covered employee's spouse, or any other</u>
- 55 <u>individual who stood in loco parentis to the covered employee or</u>
- 56 covered employee's spouse when the covered employee or covered
- 57 <u>employee's spouse was a child;</u>
- [(6)] (8) "Retaliatory personnel action" means any termination,
- 59 suspension, constructive discharge, demotion, unfavorable
- 60 reassignment, refusal to promote, disciplinary action or other adverse
- employment action taken by an employer against an employee; [or a
- 62 service worker;]
- [(7) "Service worker" means an employee primarily engaged in an
- 64 occupation with one of the following broad or detailed occupation
- code numbers and titles, as defined by the federal Bureau of Labor
- 66 Statistics Standard Occupational Classification system or any successor
- 67 system: (A) 11-9050 Food Service Managers; (B) 11-9110 Medical and
- 68 Health Services Managers; (C) 21-1020 Social Workers; (D) 21-1093
- 69 Social and Human Service Assistants; (E) 21-1094 Community Health
- 70 Workers; (F) 21-1099 Community and Social Service Specialists, All
- 71 Other; (G) 25-4020 Librarians; (H) 29-1050 Pharmacists; (I) 29-1070
- 72 Physician Assistants; (J) 29-1120 Therapists; (K) 29-1140 Registered
- 73 Nurses; (L) 29-1150 Nurse Anesthetists; (M) 29-1160 Nurse Midwives;
- 74 (N) 29-1170 Nurse Practitioners; (O) 29-2020 Dental Hygienists; (P) 29-
- 75 2040 Emergency Medical Technicians and Paramedics; (Q) 29-2050
- 76 Health Practitioner Support Technologists and Technicians; (R) 29-2060

77 Licensed Practical and Licensed Vocational Nurses; (S) 31-1011 Home 78 Health Aides; (T) 31-1012 Nursing Aides, Orderlies and Attendants; 79 (U) 31-1013 Psychiatric Aides; (V) 31-9091 Dental Assistants; (W) 31-80 9092 Medical Assistants; (X) 33-9032 Security Guards; (Y) 33-9091 81 Crossing Guards; (Z) 35-1010 Supervisors of Food Preparation and 82 Serving Workers; (AA) 35-2010 Cooks; (BB) 35-2020 Food Preparation 83 Workers; (CC) 35-3010 Bartenders; (DD) 35-3020 Fast Food and 84 Counter Workers; (EE) 35-3030 Waiters and Waitresses; (FF) 35-3040 85 Food Servers, Nonrestaurant; (GG) 35-9010 Dining Room and Cafeteria 86 Attendants and Bartender Helpers; (HH) 35-9020 Dishwashers; (II) 35-87 9030 Hosts and Hostesses, Restaurant, Lounge and Coffee Shop; (JJ) 88 35-9090 Miscellaneous Food Preparation and Serving Related Workers; 89 (KK) 37-2011 Janitors and Cleaners, Except Maids and Housekeeping 90 Cleaners; (LL) 37-2019 Building Cleaning Workers, All Other; (MM) 91 39-3030 Ushers, Lobby Attendants and Ticket Takers; (NN) 39-5010 92 Barbers, Hairdressers, Hairstylists and Cosmetologists; (OO) 39-6010 93 Baggage Porters, Bellhops and Concierges; (PP) 39-9010 Child Care 94 Workers; (QQ) 39-9021 Personal Care Aides; (RR) 41-1010 First-Line 95 Supervisors of Sales Workers; (SS) 41-2011 Cashiers; (TT) 41-2021 96 Counter and Rental Clerks; (UU) 41-2030 Retail Salespersons; (VV) 43-97 3070 Tellers; (WW) 43-4080 Hotel, Motel and Resort Desk Clerks; (XX) 98 43-4170 Receptionists and Information Clerks; (YY) 43-5020 Couriers and Messengers; (ZZ) 43-6010 Secretaries and Administrative 99 100 Assistants; (AAA) 43-9010 Computer Operators; (BBB) 43-9020 Data 101 Entry and Information Processing Workers; (CCC) 43-9030 Desktop 102 Publishers; (DDD) 43-9040 Insurance Claims and Policy Processing 103 Clerks; (EEE) 43-9050 Mail Clerks and Mail Machine Operators, Except 104 Postal Service; (FFF) 43-9060 Office Clerks, General; (GGG) 43-9070 105 Office Machine Operators, Except Computer; (HHH) 43-9080 106 Proofreaders and Copy Markers; (III) 43-9110 Statistical Assistants; (JJJ) 107 43-9190 Miscellaneous Office and Administrative Support Workers; 108 (KKK) 51-3010 Bakers; (LLL) 51-3020 Butchers and Other Meat, Poultry 109 and Fish Processing Workers; (MMM) 51-3090 Miscellaneous Food 110 Processing Workers; (NNN) 53-3010 Ambulance Drivers and 111 Attendants, Except Emergency Medical Technicians; (OOO) 53-3020

- Bus Drivers; (PPP) 53-3040 Taxi Drivers and Chauffeurs; or (QQQ) 29-
- 2034 Radiologic Technologists, and is (i) paid on an hourly basis, or (ii)
- 114 not exempt from the minimum wage and overtime compensation
- 115 requirements of the Fair Labor Standards Act of 1938 and the
- 116 regulations promulgated thereunder, as amended from time to time.
- 117 "Service worker" does not include day or temporary workers;
- [(8)] (9) "Sexual assault" means any act that constitutes a violation of
- section 53a-70, 53a-70a, 53a-70b, 53a-71, 53a-72a, 53a-72b or 53a-73a;
- [(9)] (10) "Spouse" means a husband or wife, as the case may be; and
- 121 [(10)] (11) "Year" means any three-hundred-sixty-five-day period
- used by an employer to calculate employee benefits.
- Sec. 2. (NEW) (Effective October 1, 2018) (a) In determining whether
- an employer is a successor-in-interest to an employer for purposes of
- section 31-57r of the general statutes, as amended by this act, the
- 126 following factors, viewed in their totality, shall be considered: (1)
- 127 Substantial continuity of the same business operations; (2) use of the
- same business site; (3) continuity of the workforce; (4) similarity of jobs
- and working conditions; (5) similarity of supervisory personnel; (6)
- 130 similarity in machinery, equipment and production methods; (7)
- 131 similarity of products and services; and (8) the ability of the
- predecessor to provide relief. If an employer is determined to be a
- 133 successor-in-interest, any entitlements due the employees of such
- 134 employer shall be the same as if the employment by the predecessor
- and successor were continuous employment by a single employer.
- 136 (b) In determining whether separate entities are an integrated
- employer, such that they are deemed to be parts of a single employer
- 138 for purposes of section 31-57r of the general statutes, as amended by
- 139 this act, the following factors, viewed in their totality, shall be
- 140 considered: (1) Common management; (2) interrelation between
- operations; (3) centralized control of labor relations; and (4) degree of
- 142 common ownership or degree of financial control. If separate entities

- are determined to be an integrated employer, the employees of all entities making up the integrated employer shall be counted in determining employer and employee coverage.
- Sec. 3. Section 31-57s of the general statutes is repealed and the following is substituted in lieu thereof (*Effective October 1, 2018*):
- 148 (a) [Each] An employer that employs twenty or more employees in 149 the state shall provide paid sick leave annually. Ito each of such 150 employer's service workers in the state.] An employer that employs 151 fewer than twenty employees in the state shall provide unpaid sick 152 leave annually. Such paid or unpaid sick leave shall accrue (1) 153 beginning January 1, 2012, or for a [service worker] covered employee 154 hired after said date, beginning on the [service worker's] covered 155 employee's date of employment, (2) at a rate of one hour of paid or unpaid sick leave for each forty hours worked by a [service worker] 156 157 covered employee, and (3) in one-hour increments up to a maximum 158 of forty hours per year. Each [service worker] covered employee shall 159 be entitled to carry over up to forty unused accrued hours of paid or 160 unpaid sick leave from the current year to the following year, but no 161 [service worker] covered employee shall be entitled to use more than 162 the maximum number of accrued hours, as described in subdivision 163 (3) of this subsection, in any year.
 - (b) A [service worker] <u>covered employee</u> shall be entitled to the use of accrued paid <u>or unpaid</u> sick leave upon the completion of the [service worker's six-hundred-eightieth hour] <u>covered employee's ninetieth calendar day</u> of employment from January 1, 2012, if the [service worker] <u>covered employee</u> was hired prior to January 1, 2012, or if hired after January 1, 2012, upon the completion of the [service worker's six-hundred-eightieth hour] <u>covered employee's ninetieth calendar day</u> of employment from the date of hire, unless the employer agrees to an earlier date. A [service worker] <u>covered employee</u> shall not be entitled to the use of accrued paid <u>or unpaid</u> sick leave if such [service worker] <u>covered employee</u> did not work an average of ten or more hours per week for the employer in the most recent complete

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- (c) An employer shall be deemed to be in compliance with this section if the employer offers any other paid leave, or combination of other paid leave that (1) may be used for the purposes of section 31-57t, as amended by this act, and (2) is accrued in total at a rate equal to or greater than the rate described in subsections (a) and (b) of this section. For the purposes of this subsection, "other paid leave" may include, but not be limited to, paid vacation, personal days or paid time off.
- (d) Each employer shall pay each [service worker] covered employee for paid sick leave at a pay rate equal to the greater of either (1) the normal hourly wage for that service worker, or (2) the minimum fair wage rate under section 31-58 in effect for the pay period during which the employee used paid sick leave. For any [service worker] covered employee whose hourly wage varies depending on the work performed by the [service worker] covered employee, "normal hourly wage" means the average hourly wage of the [service worker] covered employee in the pay period prior to the one in which the [service worker] covered employee used paid sick leave.
- (e) Notwithstanding the provisions of this section and sections 31-57t to 31-57w, inclusive, <u>as amended by this act</u>, and upon the mutual consent of the [service worker] <u>covered employee</u> and employer, a [service worker] <u>covered employee</u> who chooses to work additional hours or shifts during the same or following pay period, in lieu of hours or shifts missed, shall not use accrued paid or unpaid sick leave.
- (f) No employer shall require a covered employee who uses paid or unpaid sick leave to search for or find a replacement employee to cover the hours during which the covered employee is utilizing paid or unpaid sick leave.
- [(f)] (g) No employer shall (1) terminate any employee, (2) dismiss any employee, or (3) transfer any employee from one worksite to

- another solely in order to not qualify as an employer, as defined in section 31-57r, as amended by this act.
- Sec. 4. Section 31-57t of the general statutes is repealed and the following is substituted in lieu thereof (*Effective October 1, 2018*):
- 211 (a) An employer shall permit a [service worker] <u>covered employee</u> 212 to use the paid <u>or unpaid</u> sick leave accrued pursuant to section 31-57s, 213 as amended by this act:
- (1) For (A) a [service worker's] <u>covered employee's</u> illness, injury or health condition, (B) the medical diagnosis, care or treatment of a [service worker's] <u>covered employee's</u> mental illness or physical illness, injury or health condition, or (C) preventative medical care for a [service worker] covered employee;
- 220 (2) For (A) a [service worker's] <u>covered employee's</u> child's, [or] spouse's <u>or parent's</u> illness, injury or health condition, (B) the medical diagnosis, care or treatment of a [service worker's] <u>covered employee's</u> child's, [or] spouse's <u>or parent's</u> mental or physical illness, injury or health condition, or (C) preventative medical care for a [child or spouse of a service worker; and] <u>child</u>, spouse or parent of a covered employee;
- 226 (3) For bonding with a newborn, newly adopted or newly placed 227 foster child under the age of eighteen within one year of such birth, 228 adoption or placement;
- 229 [(3)] (4) Where a [service worker] covered employee or covered 230 employee's child, spouse or parent is a victim of family violence or 231 sexual assault (A) for medical care or psychological or other counseling 232 for physical or psychological injury or disability, (B) to obtain services 233 from a victim services organization, (C) to relocate due to such family 234 violence or sexual assault, or (D) to participate in any civil or criminal 235 proceedings related to or resulting from such family violence or sexual 236 assault; [.] and

- (5) Where a covered employee's place of employment or a covered employee's child's school or place of care is closed by order of municipal, state or federal public health officials due to a public health emergency.
- (b) If a [service worker's] covered employee's need to use paid or <u>unpaid</u> sick leave is foreseeable, an employer may require advance notice, not to exceed seven days prior to the date such leave is to begin, of the intention to use such leave. If a [service worker's] covered employee's need for such leave is not foreseeable, an employer may require a [service worker] covered employee to give notice of such intention as soon as practicable. For paid or unpaid sick leave of three or more consecutive days, an employer may require reasonable documentation that such leave is being taken for one of the purposes permitted under subsection (a) of this section. If such leave is permitted under subdivision (1) or (2) of subsection (a) of this section, documentation signed by a health care provider who is treating the [service worker] covered employee or the [service worker's] covered employee's child, [or] spouse or parent indicating the need for the number of days of such leave shall be considered reasonable documentation. If such leave is permitted under subdivision [(3)] (4) of subsection (a) of this section, a court record or documentation signed by a service worker or volunteer working for a victim services organization, an attorney, a police officer or other counselor involved with the service worker shall be considered reasonable documentation.
- (c) Nothing in sections 31-57s to 31-57w, inclusive, <u>as amended by this act</u>, shall be deemed to require any employer to provide paid <u>or unpaid</u> sick leave for a [service worker's] <u>covered employee's</u> leave for any purpose other than those described in this section.
- (d) Unless an employee policy or collective bargaining agreement provides for the payment of accrued fringe benefits upon termination, no [service worker] <u>covered employee</u> shall be entitled to payment of unused accrued sick leave under this section upon termination of employment.

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- (e) Nothing in sections 31-57s to 31-57w, inclusive, <u>as amended by</u>
 this act, shall be construed to prohibit an employer from taking
 disciplinary action against a [service worker] <u>covered employee</u> who
 uses paid <u>or unpaid</u> sick leave provided under sections 31-57s to 3157w, inclusive, <u>as amended by this act</u>, for purposes other than those
 described in this section.
- Sec. 5. Section 31-57u of the general statutes is repealed and the following is substituted in lieu thereof (*Effective October 1, 2018*):
 - (a) Nothing in sections 31-57s to 31-57w, inclusive, as amended by this act, shall be construed to (1) prevent employers from providing more paid or unpaid sick leave than is required under sections 31-57s to 31-57w, inclusive, as amended by this act, (2) diminish any rights provided to any employee or [service worker] covered employee under a collective bargaining agreement, or (3) preempt or override the terms of any collective bargaining agreement effective prior to January 1, 2012.
 - (b) Nothing in sections 31-57s to 31-57w, inclusive, <u>as amended by this act</u>, shall be construed to prohibit an employer (1) from establishing a policy whereby a [service worker] <u>covered employee</u> may donate unused accrued paid sick leave to another service worker, and (2) who provides more paid <u>or unpaid</u> sick leave than is required under sections 31-57s to 31-57w, inclusive, <u>as amended by this act</u>, for the purposes described in subdivision (1) of subsection (a) of section 31-57t, <u>as amended by this act</u>, from limiting the amount of such leave a [service worker] covered <u>employee</u> may use for other purposes.
 - (c) Any termination of a [service worker's] <u>covered employee's</u> employment by an employer, whether voluntary or involuntary, shall be construed as a break in service. Should any [service worker] <u>covered employee</u> subsequently be rehired by the employer following a break in service, the [service worker] <u>covered employee</u> shall (1) begin to accrue sick leave in accordance with section 31-57s, <u>as</u> <u>amended by this act</u>, and (2) shall not be entitled to any unused hours

- of paid <u>or unpaid</u> sick leave that had been accrued prior to the [service worker's] <u>covered employee's</u> break in service unless agreed to by the employer.
- Sec. 6. Section 31-57v of the general statutes is repealed and the following is substituted in lieu thereof (*Effective October 1, 2018*):
- (a) No employer shall take retaliatory personnel action or discriminate against an employee because the employee (1) requests or uses paid or unpaid sick leave either in accordance with sections 31-57s and 31-57t, as amended by this act, or in accordance with the employer's own paid sick leave policy, as the case may be, or (2) files a complaint with the Labor Commissioner alleging the employer's violation of sections 31-57s to 31-57w, inclusive, as amended by this <u>act</u>.
 - (b) The Labor Commissioner shall advise any employee who (1) is covered by a collective bargaining agreement that provides for paid or unpaid sick days, and (2) files a complaint pursuant to subsection (a) of this section of his or her right to pursue a grievance with his or her collective bargaining agent.
 - (c) Any employee aggrieved by a violation of the provisions of sections 31-57s to 31-57w, inclusive, as amended by this act, may file a complaint with the Labor Commissioner. Upon receipt of any such complaint, said commissioner may hold a hearing. After the hearing, any employer who is found by the Labor Commissioner, by a preponderance of the evidence, to have violated the provisions of subsection (a) of this section shall be liable to the Labor Department for a civil penalty of five hundred dollars for each violation. Any employer who is found by the Labor Commissioner, by a preponderance of the evidence, to have violated the provisions of sections 31-57s to 31-57u, inclusive, as amended by this act, or section 31-57w, as amended by this act, shall be liable to the Labor Department for a civil penalty of up to one hundred dollars for each violation. The Labor Commissioner may award the employee all appropriate relief,

- 334 including the payment for used paid sick leave, rehiring or 335 reinstatement to the employee's previous job, payment of back wages 336 and reestablishment of employee benefits to which the employee 337 otherwise would have been eligible if the employee had not been 338 subject to such retaliatory personnel action or discriminated against. 339 Any party aggrieved by the decision of the commissioner may appeal 340 the decision to the Superior Court in accordance with the provisions of 341 chapter 54.
- 342 (d) The Labor Commissioner shall administer this section within available appropriations.
- Sec. 7. Section 31-57w of the general statutes is repealed and the following is substituted in lieu thereof (*Effective October 1, 2018*):

Each employer subject to the provisions of section 31-57s, as amended by this act, shall, at the time of hiring, provide notice to each [service worker] covered employee (1) of the entitlement to sick leave for [service workers] covered employees, the amount of sick leave provided to [service workers] covered employees and the terms under which sick leave may be used, (2) that retaliation by the employer against the [service worker] covered employee for requesting or using sick leave for which the [service worker] <u>covered employee</u> is eligible is prohibited, and (3) that the [service worker] covered employee has a right to file a complaint with the Labor Commissioner for any violation of this section and of sections 31-57s to 31-57v, inclusive, as amended by this act. Employers may comply with the provisions of this section by displaying a poster in a conspicuous place, accessible to [service workers] employees, at the employer's place of business that contains the information required by this section in both English and Spanish. The Labor Commissioner may adopt regulations, in accordance with chapter 54, to establish additional requirements concerning the means by which employers shall provide such notice. The Labor Commissioner shall administer this section within available appropriations.

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This act shall take effect as follows and shall amend the following sections:		
Section 1	October 1, 2018	31-57r
Sec. 2	October 1, 2018	New section
Sec. 3	October 1, 2018	31-57s
Sec. 4	October 1, 2018	31-57t
Sec. 5	October 1, 2018	31-57u
Sec. 6	October 1, 2018	31-57v
Sec. 7	October 1, 2018	31-57w

LAB Joint Favorable